



1Fw AFA

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

50

Application Number

09/828,437

Filing Date

April 6, 2001

First Named Inventor

Pasquale A. Patullo, et al.

Art Unit

3629

Examiner Name

Janice A. Mooneyham

Attorney Docket Number

330501-00002

### ENCLOSURES (Check all that apply)



Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)



Reply to Missing Parts/  
Incomplete Application



Reply to Missing Parts  
under 37 CFR 1.52 or 1.53



Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a  
Provisional Application



Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD



After Allowance Communication to TC



Appeal Communication to Board  
of Appeals and Interferences



Appeal Communication to TC  
(Appeal Notice, Appeal Brief)



Proprietary Information



Status Letter



Other Enclosure(s) (please identify  
below):

Remarks

Papers attached:

Notice of Appeal

Appellant's Brief on Appeal

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Katten Muchin Rosenman LLP

Signature

Printed name

Mark W. Hrozensnik

Date

April 7, 2006

Reg. No.

45,316

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL for FY 2006

Effective 10/01/2005. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**500.00**

## Complete if Known

|                      |                             |
|----------------------|-----------------------------|
| Application Number   | 09/828,437                  |
| Filing Date          | April 6, 2001               |
| First Named Inventor | Pasquale A. PATULLO, et al. |
| Examiner Name        | Janice A. Mooneyham         |
| Art Unit             | 3629                        |
| Attorney Docket No.  | 330501-00002                |

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account

**50-1710**

Account Name

**KATTEN MUCHIN ZAVIS ROSENMAN**

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge above Deposit Account with any additional fees necessary UNDER 37 CFR 1.16 AND/OR 1.17 to maintain pendency of this application.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Description            | Fee Paid |
|---------------|---------------|------------------------|----------|
| 1001 300      | 2001 150      | Utility Filing Fee     |          |
| 1111 500      | 2111 250      | Utility Search Fee     |          |
| 1311 200      | 2311 100      | Patent Examination Fee |          |

SUBTOTAL (1) (\$)**0**

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       |          | Extra Claims | Fee | Fee Paid |
|--------------------|----------|--------------|-----|----------|
|                    | - 20** = | X            |     |          |
| Independent        | - 3** =  | X            |     |          |
| Multiple Dependent |          |              |     |          |

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Description  |
|---------------|---------------|--|
| 1202 50       | 2202 25       | Claims in excess of 20                                     |
| 1201 200      | 2201 100      | Independent claims in excess of 3                          |
| 1203 360      | 2203 180      | Multiple dependent claim, if not paid                      |
| 1204 200      | 2204 100      | ** Reissue independent claims over original patent         |
| 1205 50       | 2205 25       | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)**0**

\*\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code \$ | Fee Code \$ | Description  | Fee Paid |
|-------------|-------------|--|----------|
| 1051 130    | 2051 65     | Surcharge - late filing fee or oath  |          |
| 1052 50     | 2052 25     | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053 130    | 1053 130    | Non-English specification  |          |
| 1812 2,520  | 1812 2,520  | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804 920*   | 1804 920*   | Requesting publication of SIR prior to Examiner action                     |          |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action                        |          |
| 1251 120    | 2251 60     | Extension for reply within first month                                     |          |
| 1252 450    | 2252 225    | Extension for reply within second month                                    |          |
| 1253 1,020  | 2253 510    | Extension for reply within third month                                     |          |
| 1254 1,590  | 2254 795    | Extension for reply within fourth month                                    |          |
| 1255 2,160  | 2255 1,080  | Extension for reply within fifth month                                     |          |
| 1401 500    | 2401 250    | Notice of Appeal   | 250.00   |
| 1402 500    | 2402 250    | Filing a brief in support of an appeal                                     | 250.00   |
| 1403 1,000  | 2403 500    | Request for oral hearing   |          |
| 1451 1,510  | 1451 1,510  | Petition to institute a public use proceeding                              |          |
| 1452 500    | 2452 250    | Petition to revive - unavoidable (1.17(l))                                 |          |
| 1453 1,500  | 2453 750    | Petition to revive - unintentional (1.17(m))                               |          |
| 1501 1,400  | 2501 700    | Utility issue fee (or reissue)   |          |
| 1502 800    | 2502 400    | Design issue fee   |          |
| 1503 1,100  | 2503 550    | Plant issue fee  |          |
| 1460 130    | 1460 130    | Petitions to the Director  |          |
| 1807 50     | 1807 50     | Processing fee - provisional app (1.17(q))                                 |          |
| 1806 180    | 1806 180    | Submission of Information Disclosure Stmt                                  |          |
| 8021 40     | 8021 40     | Recording each patent assignment per property (times number of properties) |          |
| 1809 790    | 2809 395    | Filing a submission after final rejection (1.129(a))                       |          |
| 1814 130    | 2814 65     | Statutory Disclaimer   |          |
| 1801 790    | 2801 395    | Request for Continued Examination (RCE)                                    |          |
| 1802 900    | 1802 900    | Req for expedited examination - Design App                                 |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**500.00**

## SUBMITTED BY

Name: Mark W. Hrozenchik

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Signature:

Date: April 7, 2006